**SMIP Local Flood Analysis (LFA) Implementation Application**

Cornell Cooperative Extension (CCE) of Ulster County in partnership with the Ulster County Soil and Water Conservation District and the New York City Department of Environmental Protection is soliciting applications for implementation of Local Flood Analysis-recommended projects in the [Ashokan Reservoir Watershed](https://ashokanstreams.org/exploring-the-watershed/).

**Eligible Applicants:** Applications will be accepted from town and county municipalities.

**Eligibility Requirements:** The project proposed for funding must be located wholly in the New York City West of Hudson Watershed, must be located in a town that has completed a [Local Flood Analysis planning study](http://catskillstreams.org/lfa/), and must be approved by the town. The applicant municipality must have completed a Community Assistance Visit or Community Assistance Contact with the New York State Department of Environmental Conservation within five (5) years of the date of application and be in good standing with the National Flood Insurance Program.

**Eligible Projects:** Eligible projects are those that are recommended in a Local Flood Analysis (LFA) as having a measurable off-site flood reduction benefit or is a component of a larger LFA-recommended project that was shown through LFA to have a measurable flood reduction benefit.

**Ineligible Projects:** Projects ineligible for LFA funding include structural flood control practices such as flood walls, berms, and levees; stream dredging or channelization; projects or groupings of projects where the cost outweighs the benefits; projects consisting of routine annual maintenance; projects that can be fully funded under a state or federal program; and replacement of privately owned bridges, culverts or roads.

**Application Deadline:** Funding is available on a rolling basis until June 30, 2024, when all project work must be completed.

**Funding Schedule:** Applications will be accepted and evaluated following the Stream Management Implementation Program application review process. The AWSMP and its Stakeholder Council will notify applicants of funding selection within one month. Funding agreements may take 1-3 months to implement after selection. The AWSMP reserves the right to not fund projects that exceed available funds, or that do not comply with [LFA program rules](http://catskillstreams.org/wp-content/uploads/2015/01/LFA_Rules.pdf).

**Proposal Submission Instructions:** For an electronic version of the application go to: <http://ashokanstreams.org/projects-funding/>

An electronic copy of the application can be submitted to: Leslie Zucker, laz5@cornell.edu or by mail to Ashokan Watershed Stream Management Program, Attn: Leslie Zucker, PO Box 667, Shokan, NY 12481.

**Contact for More Information:**

Leslie Zucker, Program Coordinator

Ashokan Watershed Stream Management Program

Cornell Cooperative Extension of Ulster County

(845) 688-3047, Ext. 102, Laz5@cornell.edu

All organizations receiving grant funds from the AWSMP must be able to certify that they do not discriminate based upon race, creed, color, national origin, sex, age, disability, marital status or sexual orientation with respect to employment or programming.

**Checklist of Documents:**

ONLY COMPLETED APPLICATIONS WILL BE ACCEPTED:

* Cover Letter - indicating municipal or institutional support for the project
* Other Letters of Support (if applicable)
* Section 1: Project Information
* Section 2: Applicant Information/Signature
* Section 3: Project Description
* Section 4: Project Budget and Matching Grant Information
* Section 5: AWSMP Budget Narrative

**Section 1: Project Information**

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| Project title:       |
| Total AWSMP funding request:       |
| Expected end date of project:       |
| Date the town approved project:       |
| County applicants must have a town letter of support submitted with the application. Have you attached a town letter of support?: [ ]  Yes [ ]  Does Not Apply |

**Section 2: Applicant Information**

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| Applicant organization: |       |
| Type of organization: | [ ]  Town [ ]  Town on behalf of non-profit organization [ ]  County |
| Address: |       |
| Contact person: |       |
| Phone: |       |
| E-Mail: |       |

*I certify that the information provided in this application is true*:

Signature of Authorized Representative:

Name, Title:

Phone:

Date:

**Section 3: Project Description**

Include the following information:

1. Project location – Identify the project location and extent or boundaries. Confirm that the project is located in a town that has completed a Local Flood Analysis.

1. Project background - Explain how the project was developed and why the project is moving to implementation at this time. Identify the town-accepted Local Flood Analysis final report or equivalent report that recommends the project. Provide information on staging of multi-step projects and how this application advances the overall effort. Explain why this project was chosen over other actions and the intended public benefits of the project.

1. Project summary – Describe the project’s flood mitigation goals and objectives, and clearly identify the project’s off-site measurable flood reduction benefit, or contribution to a larger LFA-recommended project that has been shown to have a flood reduction benefit. Describe any improvements in hydraulic constriction that result from the project. Describe project design goals, and how the project maintains naturally stable stream channel dimensions and sediment transport processes. Provide benefit-cost analysis information and any other reasons the project has an overall benefit that outweighs the costs.

1. Project team and qualifications – List the names of project team members, their titles, qualifications and affiliations. Team members may include the municipal staff, and staff of the consulting or construction firm selected for the project.

1. Use of AWSMP funds – Describe the specific planned use of AWSMP funds and how AWSMP funding will relate to any other funding for the project.

1. Deliverables – Describe specific planned outcomes and deliverables.

1. Timeline – Provide a project timeline with milestones.

**Section 4: Project Budget**

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|  | AWSMP Funds | Matching Grant | Other In-Kind | Total |
| Personnel |       |       |       |       |
| Fringe |       |       |       |       |
| Travel |       |       |       |       |
| Supplies/Non-Capitalized Equipment |       |       |       |       |
| Contracts |       |       |       |       |
| Construction |       |       |       |       |
| Other |       |       |       |       |
| Total Direct Costs |       |       |       |       |
| Indirect [1] |       |       |       |       |
| **Total** |  |  |  |  |
| [1] The percentage of indirect charged to AWSMP cannot exceed the percentage of indirect charged to the primary grant. For example, if 10% of the total direct program costs are charged for indirect expenses in the primary grant, the amount requested for indirect expenses from AWSMP cannot exceed 10% of the amount requested for direct program costs in the AWSMP request. All requests for indirect expenses must include information about how indirect costs are calculated by the organization. |

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| **Complete the following section ONLY if AWSMP funds are being used as a matching grant to other funding source(s):** |
| Name of matching funding agency and grant program:      |
| Expected start date of matching funds:       |
| Expected end date of matching funds:       |
| Is the matching grant funded? [ ]  Yes [ ]  No If no, expected date of funding notification:       |

**Section 5: AWSMP Budget Narrative**

Please carefully review SMIP project insurance requirements and subcontractor approval policies at: <http://ashokanstreams.org/projects-funding/>

Provide detailed cost estimates or proposals for expenses included in the project. Describe assumptions made to develop the estimated budget.

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